AN URBAN DENSITY-BASED RUNOFF SIMULATION FRAMEWORK TO ENVISAGE FLOOD RESILIENCE OF CITIES.

P.N.P. Wijayawardana

218030 R

Degree of Master Science by Research

Department of Town & Country Planning
University of Moratuwa

Sri Lanka

JULY 2023

AN URBAN DENSITY-BASED RUNOFF SIMULATION FRAMEWORK TO ENVISAGE FLOOD RESILIENCE OF CITIES.

P.N.P. Wijayawardana 218030 R

Degree of Master Science by Research

Department of Town & Country Planning

University of Moratuwa

Sri Lanka

JULY 2023

Declaration

"I declare that this is my own work and this thesis does not incorporate without

acknowledgement any material previously submitted for a Degree or Diploma in any other

University or institute of higher learning and to the best of my knowledge and belief it

does not contain any material previously published or written by another person except

where the acknowledgement is made in the text.

Also, I hereby grant to University of Moratuwa the non-exclusive right to reproduce and

distribute my thesis/dissertation, in whole or in part in print, electronic or other medium. I

retain the right to use this content in whole or part in future works (such as articles or

books).

Name of the Candidate: P.N.P. Wijayawardana

Registration Number: 218030 R

Signature:....

Date: 02.07.2023

The supervisor/s should certify the thesis/dissertation with the following declaration.

The above candidate has carried out research for the Masters/MPhil/PhD thesis/

Dissertation under my supervision.

Name of the supervisor:

Supervisor1 : Dr.(Ms) Chethika Abenayake

Supervisor2: Dr. Amila Javasinghe

Signature of the supervisor:....

Date: 03.07.2023

Dr.(Ms) Chethika Abenayake

Signature of the supervisor:....

Date: 03.07.2023

(Dr. Amila Jayasinghe)

i

Abstract

Urban form densities play a decisive role in complex urban form scenarios. Therefore, learning to 'live with the floods'; has become a challenging issue to practice in most urban planning approaches. Several simulation studies have been conducted to examine the influence of urbanization scenarios on urban flood risk management. Yet, there is a gap remaining to optimize every component of flood hydrodynamics across a distinct urban form density. As a result, the economic loss to the urban system is hard to minimize. But planning an intervention with a proper quantification approach for a long-term flood management strategy is useful for making cities resilience to floods. The primary aim of this research is to create a spatial simulation framework that can evaluate how urban density(UD) affects surface runoff (SR) in urban watersheds in various urban form scenarios. First, examine the potential quantification indicators of urban form density. Second, develop a framework to quantify urban form density at the urban watershed scale, which applies to spatial structure. The third step involves creating an SR simulation model that utilizes the 13 selected UD indicators to verify and validate the previously developed framework with realworld data, with the main three categories (3Ds') as per the developed framework. The model evaluates itself with AI-based Decision Tree Analysis incorporated with correlation and experts' opinions. The model results indicate that the UD indicators including impervious coverage (accuracy level 98.7%), OSR (accuracy level 94.8%), and road density (accuracy level 93.5%) are the key indicators combined with the population density, accessibility, and built_up coverage to regulate SR in urban catchments. The ground verification of model results indicates an R2 value greater than 0.88. The ultimate goal of this study is to create a method of quantitatively evaluating the effects of physical UD as an independent variable, allowing for a more location specific manner. This study contributes a novel framework incorporating 3Ds (density, diversity, design) to quantify UD, which will aid subsequent processes of decision-making in the realm of urban flood mitigation and planning techniques.

Keywords: Urban flood, Urban density (UD), Surface runoff (SR), planning & decision-making, resilience

Table of Contents

Declaration	i
Abstract	ii
Table of Contents	ii
List of Figures	V
List of Table	vi
Acknowledgment	vii
List of Abbreviations	viii
1. CHAPTER 1: INTRODUCTION	1
1.1 Introduction	1
1.2 Research Need	3
1.3 Research Problem	2
1.4 Research Aim and Objectives	4
1.5 Significance of the Study	5
1.6 Scope of the Study and Limitations	5
2 CHAPTER 2: LITERATURE REVIEW	7
2.1 Introduction	7
2.2 Urban Density(UD) impact on Urban Flood	7
2.2.1 Urban flood	8
2.3 Summary of the Systematic Review	10
2.3.1 Search strategy	10
2.3.2 Eligibility Criteria	11
2.3.3 Study selection and data extraction	11
2.3.4 Findings for Systematic Review	12
2.4 Existing Possibilities and Practical Examples of Managing Urba	an Floods 15
2.5 Flood Resilience City	19
3 CHAPTER 3: METHODOLOGY AND PROPOSED FRAMEWORK	
3.1 Introduction	22
3.2 Identifying the most influencing Indicators	22
2.2 Identifying the Theories and Concepts	24

3.4	Concepts	27
3.5	Conceptual Framework	29
3.6	Model the surface runoff and identify the relative importance.	30
3.7	Identification of simulation tool	33
3.	7.1 Selection of case study area/s	34
3.8	Data collection and modeling	38
3.9	Model Validation	39
3.10	Overall methodology	39
4 C	HAPTER 4: ANALYSIS AND RESULTS	41
4.1	Introduction	41
4.2	Correlation Analysis	42
4.3	Decision Tree Analysis (DTA)	43
4.	3.1 Model Accuracy	46
4.4	Real ground verification with actual flood data	47
4.5	Overall Results Discussion	49
5 C	HAPTER 5: CONCLUSION AND RECOMMENDATIONS	50
5.1	Introduction	50
5.2	Applicability of the proposed framework to make flood resilience cities	50
	2.1 Identifying the most critical factors/ indicators with a stakehorspective	
5.	2.2 Clarify the model results with a stakeholder perspective	51
	2.3 The possibility of applying the developed framework in planning praces stakeholder perspective	
	2.4 Suitable suggestions to improve the efficiency of developed framework akeholder perspective.	
	2.5 Limitations/ opportunities in applying the proposed framework in plan ractices in Sri Lanka in a stakeholder perspective.	_
5.3	Key contributions of the study	56
5.4	Recommendations	57
5.5	Conclusion	58
Publica	ations	60
Referei	nces	61
6 A	ppendices	68

6.1 Ap	pendix A: Identified Density-Based Indicators through systematic review . 68
6.2 Ap	pendix B: Semi-structured interview guide for the focus group discussion. 69
6.3 Ap	pendix C: Leaf lets - aims and the existing results of the research72
6.4 Ap	pendix D: Existing flood and future flood reduction under three models 74
6.5 Ap	pendix E75
6.5.1 Contex	Limitations of existing model and local level applicability in Sri Lankan 77
6.5.2 Making	Need of combined Urban density and Flood Modelling to make Decision Tool
List of Fig	ures
	ference between natural and urban hydrographs9
Figure 2: Wa	ater balance characteristics in a natural (a) and urban watershed(b)9
Figure 3: Ho	w urban form density increase runoff and make flood-related urban problems.
Figure 4: Sy	stematic literature review framework using PRISMA protocol
Figure 5: No	w urban water management strategies and techniques based on "Sustainable
Developmen	t
Figure 6: In	creasing built form density of the water balance through Urban Water Mass
Balance	
Figure 7: E	xample case, implementing Sustainable Strategies for Urban Stormwater
Managemen	t in Germany
Figure 8: Ex	ample, Improvement urban flood Mitigation in long term - Vietnam 18
Figure 9: Flo	ood-resilient city with a diverse urban setting
Figure 10: D	imensions of flood resilience city
Figure 11: P	rocess of urban maturation - different stages
Figure 12: N	New town development, incorporating urban density, city functions, special
structures, a	nd surface runoff
Figure 13: C	onceptual Framework of this study
Figure 14: T	he layout of procedural systems compared to that of real-world systems 35
	ensity classification in Spacemate

Figure 16: SR in catchments generated with SWMM.	38
Figure 17: Overall Methodology	40
Figure 18: Correlation values (a) Correlation heat map (b)	43
Figure 19: Optional Model 1 generated through DTA	45
Figure 20: Optional Model 2 generated through DTA	45
Figure 21: Optional Model 3 generated through DTA	46
Figure 22: Difference between real ground flood and simulated flood	48
Figure 23: Differences between model results and real-world data on surface runoff $-$	sub
catchment level	48
Figure 24: Validation of accuracy level – left indicates the flood depth in meters and r	ight
indicates the inundation duration in hours	49
Figure 25: Focus area by the study	79
Lind of Table	
List of Table	
Table 1: Criteria included or excluded from the systematic review	11
Table 2: Variable explanation for 3D s	28
Table 3: Overview of urban flood simulation approaches applied in different urban sca	ıles,
input data and model limitations.	30
Table 4: Selection of simulation model for SR	32
Table 5: Parameter – AI based Procedural City Generator	34
Table 6: Unique features of the selected case study areas based on UD	36
Table 7: Measurement of variables	38
Table 8: Analysis types carryout	41
Table 9: Accuracy of the each model of DTA	47
Table 10: Limitations/ opportunities in applying the proposed framework	54

Acknowledgment

First, I would like to express my sincere gratitude to my research supervisors, Dr.(Ms) Chethika Abenayake and Dr. Amila Jayasinghe from the University of Moratuwa, Faculty of Architecture, Department of Town and Country Planning, for their invaluable guidance, support, and encouragement throughout my research journey.

I would also like to extend my heartfelt appreciation to Dr. Nuwan Dias from the University of Huddersfield in the UK, my external supervisor, for providing me with his expert opinion, valuable suggestions and comments on my work.

Special thanks are also due to the Urban Development Authority (UDA) in Sri Lanka, for providing me with valuable data and resources during the course of my research. I am also grateful to Prof. J.N. Munasinghe, Head of the Department of Town and Country Planning and Dr. R.M.S.R. Rathnayake, Postgraduate Coordinator in the department, for their support and advice throughout my study.

I would like to thank my colleagues at the Laboratory of 'Urban Simulation Lab' who have been a constant source of inspiration, encouragement, and support. Their academic, logistic, and emotional support has been invaluable to me throughout my research journey.

Finally, I would like to thank my family and friends for their unwavering support, love, and encouragement throughout my academic pursuit.

List of Abbreviations

2D RRI Two-Dimensional Rainfall-Runoff-Inundation

3Di Three-dimensional flood model
3Ds Density, Diversity, Design
AI Artificial Intelligence
ARW Access Road Width
BD Building Density

BEATS Biophysical Environments and Technologies Simulator

BH Building Height
CD Colombo District
DD Derange Density
DE Design Elements

DEM Digital Elevation Model
DS Digital Simulation
DTA Decision Tree Analysis

EPA Environmental Protection Agency

FAR Floor Area Ratio
FSI Floor Space Index
GI Green Infrastructure

GIS Geographic Information System

GSI Ground Space Index

HEC-RAS Horologic Engineering Center's River Analysis System

IC Impervious Coverage
KD Kalutara District

L Local

LID Low Impact Development

ML Machine Learning
NBS Nature based solution
OSR Opens space ratio

PCSWMM Personal Computer Storm Water Management Model

PD population density

PRISMA Preferred Reporting Items for Systematic Reviews and Meta-Analyses

R Regional RD Road density

RS Remote Sensing based

SD Spatial Density SR Surface Runoff

SUDS sustainable urban drainage system
SWMM Storm Water Management Model

UD Urban Density

UNDP United Nations Development Programme

UNDRR United Nations Office for Disaster Risk Reduction

USD United States Dollar

USMS Urban water management system/techniques

WSUD water sensitive urban design